

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE *#3*

Applicant(s): Coffman, et al.

Docket: YO999-276 (8728-299)

Serial No.: 09/374,374

Filed: August 13, 1999

For: METHOD FOR DETERMINING AND MAINTAINING
DIALOG FOCUS IN A CONVERSATIONAL SPEECH SYSTEM

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date
Granted, dated August 13, 1999, Applicant encloses herewith a properly executed original
Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63 along with a copy of
the Notice to File Missing Parts of Application - Filing Date Granted.

Please charge the required fee of \$130.00 to cover the surcharge under 37
C.F.R. §1.16(e) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event
any additional extensions of time are required, please treat this paper as a petition to extend the
time as required and charge Deposit Account No. 50-0510/IBM. **TWO (2) COPIES OF
THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

James J. Bietto
James J. Bietto
Registration No. 40,513
Attorney for Applicant(s)

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service
as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington,
D.C. 20231 on September 27, 1999.

Dated: 9/27/99

James J. Bietto
James J. Bietto



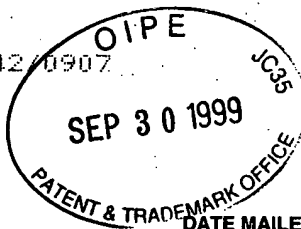
**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/374,374	08/13/99	COFFMAN	D Y0999-276-(8)

FRANK CHAU ESQ
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SUITE 501
1900 HEMPSTEAD TURNPIKE
EAST MEADOW NY 11554

0242/0907



NOT ASSIGNED

2741

DATE MAILED:

09/07/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application; the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202